

**Series 3, Apr 5th, 2016**  
**(ANNs)**

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**It is not mandatory to submit solutions and sample solutions will be published in two weeks. If you choose to submit your solution, please send an e-mail from your ethz.ch address with subject Exercise3 containing a PDF (L<sup>A</sup>T<sub>E</sub>X or scan) to lis2016@lists.inf.ethz.ch until Sunday, April 17th 2016.**

**Problem 1 (Expressiveness of Neural Networks):**

In this question we will consider neural networks with sigmoid activation functions of the form

$$\varphi(z) = \frac{1}{1 + \exp(-z)}.$$

If we denote by  $v_j^l$  the value of neuron  $j$  at layer  $l$  its value is computed as

$$v_j^l = \varphi \left( w_0 + \sum_{i \in \text{Layer}_{l-1}} w_{j,i} v_i^{l-1} \right).$$

In the following questions you will have to design neural networks that compute functions of two Boolean inputs  $X_1$  and  $X_2$ . Given that the outputs of the sigmoid units are real numbers  $Y \in (0, 1)$ , we will treat the final output as Boolean by considering it as 1 if greater than 0.5 and 0 otherwise.

- Give 3 weights  $w_0, w_1, w_2$  for a single unit with two inputs  $X_1$  and  $X_2$  that implements the logical OR function  $Y = X_1 \vee X_2$ .
- Can you implement the logical AND function  $Y = X_1 \wedge X_2$  using a single unit? If so, give weights that achieve this. If not, explain the problem.
- It is impossible to implement the XOR function  $Y = X_1 \oplus X_2$  using a single unit. However, you can do it using a multi-layer neural network. Use the smallest number of units you can to implement XOR function. Draw your network and show all the weights.
- Create a neural network with only one hidden layer (of any number of units) that implements

$$(A \vee \neg B) \oplus (\neg C \vee \neg D).$$

Draw your network and show all the weights.

**Problem 2 (Building an RBF Network):**

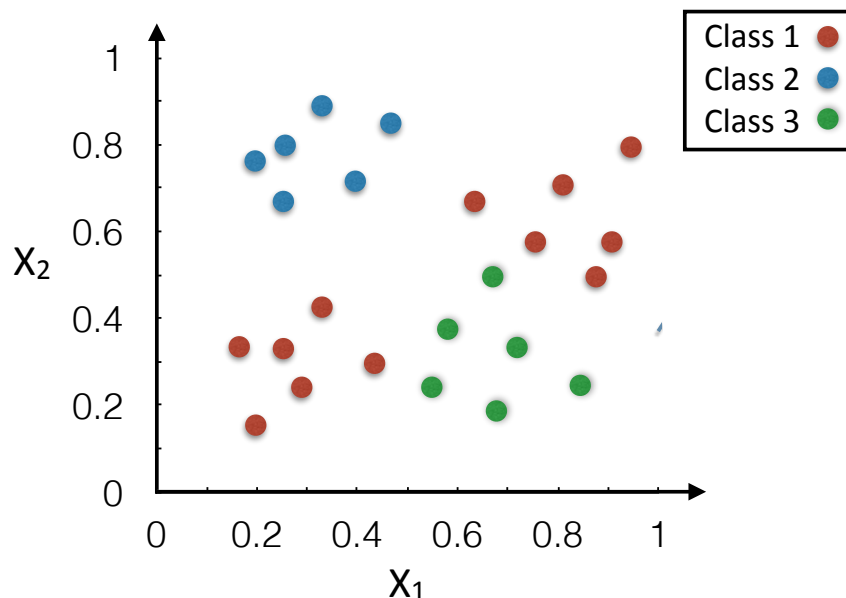
Radial basis function (RBF) networks are artificial neural networks that use radial basis functions as activation functions. They typically have three layers: an input layer, a hidden layer with a RBF activation function and a *linear* output layer. Hence, the output of the network is a linear combination of radial basis functions of the inputs and neuron parameters.

The input can be modeled as a vector of real numbers  $\mathbf{x} \in \mathbb{R}^n$ . Each output of the network  $Y_j : \mathbb{R}^n \rightarrow \mathbb{R}$  is then given by

$$Y_j = \sum_{i=1}^N w_{ij} \exp\left(-\frac{1}{2}(\mathbf{x} - \mu_i)^T \Sigma_i^{-1}(\mathbf{x} - \mu_i)\right),$$

where  $N$  is the number of neurons in the hidden layer,  $\mu_i$  and  $\Sigma_i$  are the mean vector and covariance matrix for neuron  $i$ , and  $w_{ij}$  is the weight of neuron  $i$  in the linear output neuron. In the basic form all inputs are connected to each hidden neuron.

Now, let us consider the following dataset:



- (a) Draw an RBF network that perfectly classifies the given data points. Determine suitable values for the mean and covariance of each neuron in the hidden layer ( $\mu_i, \Sigma_i$  and the appropriate weights  $w_{ij}$ ) in the network.

*Hint: You can assume that  $\Sigma_i$  is a multiple of the identity matrix, so that  $Y_j = \sum_{i=1}^N w_{ij} \exp\left(-\frac{\|\mathbf{x} - \mu_i\|^2}{2\sigma_i^2}\right)$ .*

- (b) Argue why your network classifies the data points correctly. Pick one one of the data points and calculate the network output.